JC03 Rec'd PCT/PTO 28 SEP 2015 PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER CN 030008 APPLICATION NO. (If known, see 37 CFR 1.5)

CONCE	RNING A SUBMISSIC	10/5 F1 Z 4 1					
INTERNATIONAL APPLICATION NO. PCT/IB2004/050263		INTERNATIONAL FILING DATE March 17, 2004	PRIORITY DATE CLAIMED 1 1 April 1, 2003				
TITLE OF INVENTION A METHOD AND APPARATUS FOR BEAMFORMING BASED ON BROADBAND ANTENNA							
APPLICANT(S) FOR DO/EO/US Yanzhong DAI;							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. This is	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. The U	The US has been elected (Article 31).						
5. 🔽 A 🛭	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a.	a. is attached hereto (required only if not communicated by the International Bureau).						
b.	b. 🚺 has been communicated by the International Bureau.						
c.	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. 🗆 An 1	English language translation of th	e International Application as filed (35 U.S.	C. 371(c)(2)).				
a.	is attached hereto.						
b.	has been previously submi	tted under 35 U.S.C. 154(d)(4).					
7. 🗹 Am	endments to the claims of the Inte	ernational Application under PCT Article 19	(35 U.S.C. 371(c)(3))				
a.	a. are attached hereto (required only if not communicated by the International Bureau).						
b.	b. have been communicated by the International Bureau.						
c.	c. have not been made; however, the time limit for making such amendments has NOT expired.						
d.	have not been made and	will not be made.					
8. 🔲 An	English language translation of the	ne amendments to the claims under PCT A	ticle 19 (35 U.S.C. 371(c)(3)).				
9. 🔽 An	oath or declaration of the inventor	r(s) (35 U.S.C. 371(c)(4)).					
	English language translation of th cle 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary I	Examination Report under PCT				
Items 11 to 20 below concern document(s) or information included:							
11. 🔲 An	Information Disclosure Statement	under 37 CFR 1.97 and 1.98.					
	assignment document for recordi	ng. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.				
13. 🔽 A p	A preliminary amendment.						
14. 🔲 🛮 An.	An Application Data Sheet under 37 CFR 1.76.						
15. A s	A substitute specification.						
16. A p	ower of attorney and/or change o	f address letter.					
17. 🔲 A c	omputer-readable form of the sec	quence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.				
18. 🔲 A s	econd copy of the published Inter	national Application under 35 U.S.C. 154(d)(4).				
19. A s		ge translation of the international applicatio					
20. 🗹 Oth	Express Nor information: Confirmation	Mail Certificate; PTO/SB/96; PTO/SB/80; of the Postcard	PTO/SB/08A; Charge Authorization; Receipt				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if Incomplete 7/ FFR.5) INTERNATIONAL APPLICATION NO. PCT/IB2004/050263					ATTORNEY'S DOCKET NUMBER CN 030008		
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21.				\$ 300.00			
22. Examina If International prelir PCT Article 33(1) All other situations	tion fee ninary examina (4)	\$ 200.00	·				
23. Search Search Search fee (37 CFR	ee 1.445(a)(2)) ha Searching Aut Report prepar	\$ 400.00					
то	TAL OF 21, 22	2 and 23 =			\$ 900.00		
Additional fee for sequence listing. The fee is \$250	or computer i		;				
Total Sheets E	xtra Sheets		h additional 50 or fraction up to a whole number)	RATE			
- 100 =	/50 =			x \$250	\$		
Surcharge of \$130.0 claimed priority date		\$					
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	17	- 20 =		× \$ 50	\$ 0.00		
Independent claims	4	- 3 =	1	× \$200	\$ 200.00		
MULTIPLE DEPEND	ENT CLAIM(S	S) (if applicable)		+ \$360	\$		
		\$ 200.00					
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							
		\$ 1,100.00					
Processing fee of \$1 claimed priority date		\$					
	·	\$ 1,100.00					
Fee for recording the by an appropriate co	e enclosed ass ver sheet (37	\$ 40.00					
			TOTAL	FEES ENCLOSED =	\$ 1,140.00		
					Amount to be refunded:	\$	
					Amount to be charged:	\$ 1,140.00	
1	the amount o			ove fees is enclosed.			
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 1,140.00 to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an a	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:							
Corporate Patent Counsel							
David Barnes							
1	B.O. Boy 3001						
1	47,407						
Briarcliff Manor, NY 10510 REGISTRAT					ON NOWREK		

10/551311 JCO9 Rec'd PCT/PTO 28 SEP 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

YANZHONG DAI

CN 030008

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

A METHOD AND APPARATUS FOR BEAMFORMING BASES ON BROADBAND ANTENNA

	CERTIFICATE OF EXPRESS MAILING
[X] Express Mail Mailing	Label No.
	EV 664 855 009 US
Date of Deposit: SEPTE	MBER
Service "Express Mail P	s paper and/or fee is being deposited with the United States Postal ost Office to Addressee" service under 37 C.F.R. 1.10 on the date addressed to the Commissioner for Patents, PO Box, 1450, Alexandria,
VA 22313-1450	
Debbie Henn	Oelle Han
Typed Name	Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 28 SEP 2005

In re Application of

Atty. Docket

YANZHONG DAI

CN030008

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

A METHOD AND APPARATUS FOR BEAMFORMING BASED ON BROADBAND ANTENNA

Commissioner for Patents Alexandria, VA 22313-1450

AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

David Barnes, Reg 47,4

Attorney

(914)333-9693